

EVALUATION TEMPLATE FOR STAR RATING OF MINING LEASES-OTHER THAN SMALL MINES

Criteria for Filing of Template

**Other Than Small Mines: All mines having lease
area 25 ha or more
or
having ROM Production 50,000 T or more**

- Information pertaining to “Part-I: General Information” shall be auto-fetched from the details given in the Mining Plan/ Form-K, wherever applicable.
- Kml file from Mining Plan to be automatically fetched/ shown
- Kml file for the RY (updated plans/ sections as per Rule 33 of MCDR 2017) to be uploaded

REPORTING YEAR (RY): _____
ASSESSMENT YEAR (AY): _____

PART- I: GENERAL INFORMATION:

I. LEASE AREA DETAILS

S.N.	Particulars	Validation rule
4	IBM Registration Number (under rule 45 of MCDR, 1988)	Numeric
3	Mine code (for ML)	Alpha Numeric
1	Name of the Mine	Alpha Numeric
2	Name of the Lessee Address Phone & Email	Alpha Numeric
5	Mineral(s)	Alpha Numeric
6	Total Lease Area (ha) (i) Forest Land (ha) (ii) Private Land (ha) (iii) Revenue land (ha) (iv) Others, if any(Specify)	Numeric upto Upto 4 decimal places
7	Lease period (valid up to)	Date(DD/MM/YYYY)
8	Reserves (As per UNFC 111,121 & 122) as on End of the reporting year. (In million tonnes)	Numeric
9	Resources as on End of the reporting year. In million tonnes.	Numeric
10	Method of Mining(Opencast/ Underground, Opencast & Underground)	Alpha Numeric
11	Category - A / B (as per Rule 55(2) of MCDR, 2017)	Alpha Numeric
12	Captive/Non Captive	Alpha Numeric
13	Name and contact details of all the members of Sustainable Development Unit (SDU)	Alpha Numeric
14	Production during reporting year(Tonnes)	Numeric
15	Prominent surface feature of national importance, like monuments, place of worship, rivers, etc. if any,	Alpha Numeric

II. LEASE AREA UTILISATION

S.	Type of land use (in ha)	Area put to	Area put to use	Area put to use	Cumulative
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No.		use at the end of approved plan period	at the at the beginning of RY	during the RY	area put to use at the end of RY
1	Mining				
2	Mineral storage				
3	Mineral Beneficiation plant				
4	Township				
5	Tailing Pond				
6	Railways				
7	Roads				
8	Infrastructure (Workshop, administrative building etc.)				
9	OB/waste dump				
10	Top soil preservation				
11	Others				
12	Total area put to use				
13	Excavated area reclaimed				
14	Waste dump area reclaimed				

III. ROYALTY & OTHER CONTRIBUTIONS:

S. No.	Details	Amount paid in RY in Lakh Rs.
1	Royalty/Dead rent paid	
2	Contribution to DMF	
3	Contribution to NMET	
4	Amount Paid towards despatch sharing in Auction cases	
5	Total of other cess or taxes paid to Government, in addition to above (Please indicate)	

IV. STATUTORY COMPLIANCES

1.	Environment Clearance, Order No. and validity (i) Quantity approved (ii) Validity	Furnish details like Letter No., Date, Validity period, Quantity approved
2.	SPCB Approvals (i) Consent to Establish (ii) Consent to Operate (iii) Approved quantity (iv) Validity	Furnish details like Letter No., Date, Validity period, Quantity approved
3.	Forest Clearance, Letter No. and details If applicable	Furnish details like Letter Nos., Date, Validity, Area in hectares. Provision for more than one entry to be made.
4.	Wildlife Clearance (If applicable)	Furnish details like Letter No., Date, Validity period
5.	Central Ground Water Authority No Objection Certificate (If applicable)	Furnish details like Letter No., Date, Validity period, Quantity approved
6.	Land Acquisition details, Total Area acquired/purchased so for Total Amount paid	<input type="text"/> <input type="text"/>
7.	Compliance of Vishakha Committee Guidelines for prevention of women harassment at workplace.	Implemented Not Implemented Not Applicable

PART-II: EVALUATION TEMPLATE

MODULE I: MANAGING IMPACTS AT THE MINE LEVEL

Particulars	Details (To be filled up by Lessee)			Rating Points	Applicable Max Points	Points scored
Spending towards SDF						
Constitution of SDU (Sustainable Development Unit) at Mine level - team of Mine management comprising of Technical, Financial, CSR, HRD, Environment heads for ensuring implementation of SDF principles.	Details of SDU Team			Constituted (3 Points) Not Constituted (0 points)	3	
<p>*Total expenditure incurred for implementation of SDF at mine level including -Environment Protection, - Welfare activities in and around peripheral area including CSR</p> <p>Explanation: Expenditure is not over and above the statutory levies imposed by the Government, However, THIS EXCLUDES CONTRIBUTION TO DMF & NMET .is over and above the statutory levies imposed by the Government. (Note:1. “Environment Protection” includes all activities undertaken for implementation of proposals as envisaged in PMCP including amelioration measures to contain environmental protection; reclamation and rehabilitation etc excluding welfare activities; CSR etc. 2. “Welfare activities in and around peripheral area including CSR” shall include all activities undertaken under Sustainable Mining of Mining Plan)</p> <p>*Expenditure for “Welfare activities in and around peripheral area including CSR” shall be kept as Rs 10 per Ton of total dispatches subject to a minimum of Rs 1 Lakh for small mines and 5 Lakh for other than small mines, or CSR as per Section 135 of the Companies Act, whichever is higher. Environment Protection Cost shall be the proposed/actual costs spent towards activities undertaken for implementation of proposals as envisaged in PMCP including amelioration measures to contain environmental protection; reclamation and rehabilitation etc excluding welfare activities; CSR etc. Total SDF expenditure shall be a sum of both attributes defined above.</p>	Committed Expenditure (a)	Total Expenditure for SDF implementation (b)	%age Utilisation (b/a*100)	>= 100% (5 points) >=90 & < 100% (4 Points) >=75 < 90% (3 points) >60-75% (2 points) >50-60% (1 points) < =50% (0 points) >=120%-6 Points >=140%-7 Points >=160%-8 Points >=180%-9 Points >=200%-10 Points	5 (+ 5) (Additional points on expenditure in excess to the commitment)	
CSR (Corporate Social Responsibility) spending at the mine level in Reporting Year (as per Companies Act, 2013 or otherwise)	Committed	Utilised		>= 100% (3 points) >=85 & < 100% (2 Points) >=50 < 85% (1 points) <50% (0 points) 120%- 4 points 140%-5 Points >=160%-6 Points NA option provided (for entities other than company)	3 (+3)/NA (Additional points on expenditure in excess to the commitment)	

Reporting of CSR on National CSR Portal https://www.csr.gov.in			Reported- 2 Points Else – 0 NA option provided (for entities other than company)	2/NA	
Exploration Activity					
Exploration carried out (as per approved Mining Plan/ Review/ Modification in the Approved Mining Plan for the Reporting Year) Note: “Boreholes and Meterage” to be filled by the leaseholder under this item may be cumulative till the reporting year (in the current Mining Plan proposal period) as there are cases where the proposals are achieved at an early stage. Additional options for Pitting/ Trenching may be provided. Actual exploration shall be primarily measured on the basis of meterage, then secondary consideration shall be total number of boreholes/ Pit/ Trenches, however, Pit/ Trenches shall be considered only for the Small Mines having Surficial Deposits like Bauxite/ Floats etc.	Proposed	Achieved	>90-100% achievement or if mines has already been explored 100% (10 points) >70-90% (6 points) >50-70% (2 points) <=50% (0 points) >=120%-11 Points >=140%-12 Points >=160%-13 Points >=180%-14 Points >=200%-15 Points	10/NA	
G Axis area status as on the beginning of RY Area under G1 Area under G2 Area under G3 Area under G4 Non mineralised area.					
G Axis area status as on the end of RY Area under G1 Area under G2 Area under G3 Area under G4 Non Mineralized Area		% age of potential mineralized area explored at G1 level	=100 % (5 points) >75-99% (4 points) >50-75% (3 points) >25-50% (1 points) < =25% (0 points)	5	
Use of MIS/ GIS/ Mining Planning softwares for optimum resource exploitation	Exploration / exploitation modelling softwares/ Ore body modelling using software / Optimum pit design and production scheduling using software		Yes (5 points) No (0 points)	5	

Mining Operation: Opencast					
Production of mineral in tonnes mineral wise (Run of Mine)	Proposed	Actual	90-100 % Proposed- 5 80-90 % Proposed -4 60-80% Proposed -3 50-80% proposed -2 <50% proposed Or >100% Proposed - 0	5	

Removal of waste/ over burden in cubic meters	Proposed	Actual	>80 (5 points) >60-80% (4 points) >40-60% (3 points) >20-40% (2 points) <=20% (0 points)	5/NA	
Bench Height	Proposed	Actual	As per proposals (3 points) Else (0 points)	3	
Bench Width	Proposed	Actual	As per proposals (3 points) Else (0 points)	3	
Excavation <ul style="list-style-type: none"> ■ Lateral extent ■ Vertical extent (Lateral and Vertical extents may be verified from KML files)	Proposed	Actual	Both within proposals (3 points) Else (0 points)	3	

Mining operation: Underground					
ROM Production of mineral in tonnes mineral wise	Proposed	Actual	90-100 % Proposed- 5 80-90 % Proposed -4 60-80% Proposed -3 50-80% proposed -2 <50% proposed Or >100% Proposed - 0	5	
Matching Underground Development In meters	Proposed	Actual		5 / NA (distributed below)	
Shaft			As per proposal (1 points) Else (0 points)	1/NA	
Adit			As per proposal (1 points) Else (0 points)	1/NA	
Incline/Decline/Ramp			As per proposal (1 points) Else (0 points)	1/NA	
Horizontal Development at various level			As per proposal (1 points) Else (0 points)	1/NA	
Vertical development between various levels			As per proposal (1 points) Else (0 points)	1/NA	
Size of underground openings	Proposed (Width, Height)	Actual (Width, Height)	If actual is as per proposal 3 else 0	3	
Safeguard against Subsidence					

Safeguard against Subsidence
 Not Applicable if mine has permission for caving
 No Subsidence caused due to mining in reporting year
 Subsidence happened due to mining reporting year

5

Ventilation, as per DGMS prescribed norms					
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Ventilation, as per DGMS prescribed norms
 As per proposal
 Not as per proposal

3

Geo-technical monitoring of roof/ stability of pillars					
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Geo-technical monitoring of roof/ stability of pillars
 As per proposal
 Not as per proposal

3

Zero Waste Mining				
Alternative use / Disposal of waste generated (excluding topsoil)	Disposal as minor mineral and/or other alternative use	Yes (4 points) No (0 points)	4/NA	

Waste dumping (Locational aspects may be verified from KML files)	---	As per proposal (2 points) Else (0 points) N.A., if no waste generated	2 / NA	
Mineral Conservation				
Separate stacking of mineral rejects/ subgrade mineral in earmarked area		As per proposal (2 points) Else (0 points) N.A., if no separate mineral rejects /subgrade generated	2 / NA	

Beneficiation practices for ROM/mineral reject / subgrade			Yes (5) No (0) N.A. (if no low grade ROM/subgrade is available / beneficiation not required)	5 / NA	
Blending of mineral reject / sub-grade	Total Mineral reject/Sub grade available at mines	Qty of mineral reject/sub grade used/blended	>= 50 % (5 points) 30 - 49 % (4 points) 20-29% (3 points) 10 – 19% (1 point) <10% (0 points)	5 / NA	

*Mineral rejects includes subgrade also

Environment Compliances					
Topsoil utilisation/stacking (A) If proposal is for Stacking and utilisation or utilisation, then: Stacking and utilization done as per the proposals- full points (5), Utilized, then also full points (5), If only stacked, 2 points. Otherwise 0. (B) If proposal is only for stacking, then: Stacking and utilization done as per the proposals- full points (5), Utilized, then also full points (5). If stacked as per the proposals, 2 points. Otherwise 0.	Proposed	Actual	As per the criteria Utilization (5 points) Stacking (2 points) Else (0 points) N.A.	5 / NA	
Construction or maintenance of check dams	Proposed	% achievement	100% achievement (1 points) Else (0 Points) N.A.	1 / NA	
Construction or maintenance of garland drains	Proposed	% achievement	100% achievement (1 point) Else (0 Points) N.A.	1 / NA	
Construction or maintenance of retaining walls	Proposed	% achievement	100% achievement (1 point) Else (0 Points) N.A.	1 / NA	
Tailings disposal (applicable if beneficiation facility exists)	Type of disposal		Thickened tailing disposal / Paste filing / Dry disposal (5 points) Disposal as Dumping in Tailing Pond/ Tailing Disposal Area (0 points)	5 / NA	

		N.A.		
Tailings Utilization (%age)	%age Utilization	>10% (5 points) 5-10% (3 points) >0-5%(1 points) No utilization (0 points)	5/ NA	
Dust suppression measures undertaken in mining operation			4 / NA (distributed below)	
	Water sprinkling on haulage road, (NA-Applicable for UG Mines)	Yes (1 points) No (0 points)	1/ NA	
	Wet drilling/Dust collector (NA-Applicable where Drilling is not done)	Yes (1 points) No (0 points)	1/ NA	
	Water mist spray at crusher (NA-Applicable where there is no Crusher)	Yes (1 points) No (0 points)	1/ NA	
	Covering of inactive dumps by geotextiles/plantation etc. (NA-Applicable where there is no Inactive Dump)	Yes (1 points) No (0 points)	1/ NA	

Disposal of used oil, lubricants through authorized vendors.	---	Yes (1 points) No (0 points)	1 / NA	
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Ground Water Monitoring (New changed)				
Central Ground Water Authority NOC obtained	Whether CGWA NOC obtained as per the Guidelines or not for abstraction of groundwater	Obtained (1 point) Not obtained (0 point) NA-Ground water not abstracted	1/NA	
Monitoring of Ground water as per CGWA NOC	---	Monitoring mechanism available (1 points) Not available (0 points) NA- Ground water not abstracted	1/NA	
Ground Water Quality status (with reference to CGWA/ CGWB/ CPCB parameters)	Details about the GW Quality assessment	Within permissible limits (2 points) Beyond permissible limits i.e., above or below standards (0 points)	2	

Water Usage				
Use of mine Water consumption pattern, if applicable	Use of mine water by proper treatment and use for: Drinking water supply Irrigation For any other purpose Discharge without treatment	5 3 1 0	5 / NA	
Quantity of recycled water as a % of total water drawl from external sources such as bore wells, rivers or piped water, if applicable {Quantity of recycled water / Quantity of water drawn from external sources}	Check CGWA NOC for the quantities reflected in this section	>=90% (5 points) >75%-<=90% (4 points) >50%-<=75% (3 points) >25%-<=50% (2 points) >=10%-<=25% (1	5 / NA	

		point) <10% (0 point) N.A., if recycling not possible		
Innovative approach to save and conserve water in the process (Reduction of pumping with use of technology for mining and water management to minimize and mitigate the adverse impact on ground water, based on local conditions)	Zero leakage/ water loss in water pipelines, storage tanks and water use processes	Yes (2 points) No (0 point)	2/NA	
	Drip irrigation or other conservation techniques	Yes (2 points) No (0 point)	2 / NA	

Environmental Monitoring					
Environmental Monitoring Standards	Core Zone	Buffer zone	RATING POINTS	Applicable Max. Points	Points Scored
a) Ambient Air Quality			Within limit in all three cases(3 points) Else (0 points)	3	
Standards laid down by MoEF&CC					
Core Zone					
Buffer Zone					
b) Surface Water Quality			Within limit in all three cases(3 points) Else (0 points)	3/NA	
Standards laid down by MoEF&CC					
Core Zone					
Buffer Zone					
c) Ground Water Quality			Within limit in all three cases(3 points) Else (0 points)	3	
Standards laid down by MoEF&CC					
Core Zone					
Buffer Zone					
d) Noise Level			Within limit in all three cases(3 points) Else (0 points)	3	
Standards laid down by DGMS					
Core Zone					
Buffer Zone					
e) Ground Vibration			Within limit in all three cases(3 points) Else (0 points)	3/ NA	
Standards laid down by DGMS					
Core Zone					
Buffer Zone					

Green Energy Sourcing & Climate Change Initiatives					
Total Energy requirement in KWH	Sourced from Green /renewable sources		>= 75% (4 points) 50%-75% (2 points) >=25%-50% (1 point) <25% (0 point)	4	
Implementing recommendations of Energy Audit in mining, ore processing etc. as per provisions of Energy Conservation Act, 2001	---		Yes (1 points) No (0 points)	1	
Innovation in energy saving	Use of sensors and low energy consumption devices		Yes (2 points) No (0 points)	2	
	Conservation of Fuel		Yes (2 points) No (0 points)	2	
	Conservation through other technical intervention including use of EV in mines. Please list.		Yes (2 points) No (0 points)	2	

Compliance Status

Violations pointed out by IBM	Total no. of violations pointed out	Violations complied with	100% compliance (8 points) 75-99% compliance (6 points) 51-74% compliance (4 points) 25-50% compliance (2 point) <25% compliance (0 points)	8	
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NOTE: Proposal and achievement columns are with respect to the reporting year.

Summary of Points obtained in Module-I	Module-I Applicable Maximum Points (1)	Module-I Points Scored (i)
Both Opencast & Underground Mining Only Opencast Only Underground		

MODULE II: FINAL/ PROGRESSIVE MINE CLOSURE & LANDSCAPE RESTORATION

Particulars	Details	Rating Points	Max Applicable Points	Points Scored	Particulars	Details
1) Backfilling as per approved Mining Plan /	Proposal	Actual	% (This is to be calculated by system)	100% (3 points) >50 < 100% (2 points) <=50%(0 point)	3 / NA	
<i>Backfilling Area (Ha.)</i>						
2) Water Reservoir, ground water recharge, and pisciculture, if applicable						
<i>Area under water reservoir</i>				100% (5 points) >50 < 100% (2 points) <=50%(0 point)	5 / NA	
2(a) Efforts towards Rainwater (RW) Harvesting, Groundwater (GW) Recharging and artificial recharge measures for groundwater recharge				Rain Water harvesting with GW recharging (3 points) Rain Water harvesting with no GW recharging (2 points) No structures (0 points)	3	
Details						
3) Landscape restoration & rehabilitation of ecology & biodiversity						
<i>Plantation over backfilled area</i>				100% (3 points) >50 < 100% (1 points) <=50%(0 point) 1 additional point for more than 125% compliance	3/NA (+1)	
<i>Plantation over dump area</i>				100% (5 points) >50 < 100% (2 points) <=50%(0 point) 1 additional point for more than 125% compliance	5/NA (+1)	
<i>Plantation over virgin</i>				100% (3 points)	3/NA	

<i>area(Green belt development)</i>				>50 < 100% (1 points) <=50%(0 point) 1 additional point for more than 125% compliance	(+1)	
<i>Agro forestry Area</i>				If done 2 else 0	2/NA	
3 (a) Efforts for faster restoration						
Details* (Soil- moisture conservation, fencing of area, drip irrigation, etc.)				Yes (2 points) No (0 points)	2/NA	
3 (b) Treatment of problematic soils, if applicable				Yes (2 points) No (0 points) N.A.	2/NA	
Details* (Treatment with soil amendments, manuring, bio fertilizers etc.)						
4) Creation of Eco tourism/ recreational facility if applicable						
Area reshaped by pitching & recontouring				100% (2 points) >50 < 100% (1 points) <=50%(0 point)	2 / NA	
5) Dump area stabilisation and development, if applicable						
Area covered by Geo textiles or similar techniques in Ha				100% (5 points) >50 < 100% (1 points) <=50%(0 point)	5 / NA	

Summary of Points obtained in Module-II	Module-II Applicable Maximum Points (3)	Module-II Points Scored (iii)

MODULE III: ADDRESSING SOCIAL IMPACTS OF R&R REQUIREMENTS, COMMUNITY ENGAGEMENT & WELFARE PROGRAMMES

Particulars	Details	Rating Points	Applicable Max Points	Points scored
Rehabilitation & Resettlement for Project Affected People				
No. of Project Affected People (PAP) as per approved EIA-EMP / SIA-SMP / R&R, if applicable				
%age of PAP for whom alternate arrangements made for sustained livelihood (<i>Indirect employment/Created source of income other than direct employment</i>)	Details	100% (3 points) Else (0) NA, if no R&R involved	3 / NA	
% of project affected families given employment (Direct Employment)	Details	100% (5 points) 71<100 % (3 points) 51-70 % (2 points) <50 (No points) NA, if no R&R involved	5 / NA	
% of project affected families who have been skilled by the lessee and absorbed (% of total employment given to affected families by imparting training for becoming electrician, mechanic, tailoring)	Details	>50% (5 points) 30 to 50 % (3 points) 10 to 30 (2 points) <10 (0 point) NA, if no R&R involved	5 / NA	

Grievance Redressal						
Whether a public grievance redressal mechanism is in place				Yes(1 point) No (0 points)	1	
Name, Address and Phone no./Email of Committee Members responsible displayed publicly				Yes(1 point) No (0 points)	1	
Stakeholder Participation						
Participation of stakeholders, host communities and community groups in various activities of the companies such as Environment Management, CSR activities and Capacity expansion plans				Yes (3 points) No Participation (0 points)	3	
Welfare and socio-economic development programs for local communities	Details of Expenditure & Work done during Reporting Year		No. of Beneficiaries	% achievement against proposals	Max Applicable Points	Points scored
	Proposed	Actual				
Support for Drinking Water & Agriculture						
Water storage tanks, drinking water supply facility & irrigation support to agriculture	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Support to Health & Medical Services						
Preventive measures for mitigation of mine related health problems	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Promotion of Hygiene and Sanitation, public health initiatives	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Support to Skill development & Education						
Skill development & Vocational Training programmes for local communities	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Promotion of Literacy & Education	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Social & Livelihood Support						
Support to social, cultural, recreational activities	Exp.	Exp.		81-100% (2 points) 41-80% (1 points) < 40% or no proposals (0 points)	2	
	Work	Work		---	---	
Livelihood & Socio-Economic standard improvement support	Exp.	Exp.		81-100% (3 points) 51-80% (2 points) 41-50% (1 points) < 40% or no proposals (0 points)	3	
	Work	Work		---	---	
Support to Transportation Services & Infrastructure						
Improvement of Road connectivity and	Exp.	Exp.		81-100% (2 points)	2	

public transport and other infrastructure facilities			41-80% (1 points) < 40% or no proposals (0 points)		
	Work	Work	---	---	
Sourcing of manpower amongst locals (from the State) in the mines-					
Total Local Manpower (% of total manpower)	Details		>= 50% (3 points) 30-49 % (2 points) < 30 (1 points)	3	
Skilled local manpower (% of total local manpower)	Details		>= 50% (3 points) 30-49 % (2 points) < 30 (1 points)	3	
Unskilled local manpower (% of total local manpower)	Details		>= 50% (3 points) 30-49 % (2 points) < 30 (1 points)	3	
Working in LWE affected districts (as per NITI Aayog list)			Yes (2 points) No (0 points)	2/NA	
Working in Schedule V / Tribal area			Yes (3 Points) No (0 points)	3/NA	

Remarks: Where specific details of works/ activities are not available, the quality assessment may be done as Very Good (80%), Good (60%), Satisfactory (40%) and Not satisfactory (0).

Swatchata Programs: Creating/providing sanitation and healthy condition in and around the mine area					
1	Voluntary Adoption of Village for Health, Sanitation, Literacy (including Computer Literacy) & Infrastructure Support Programs:	<ol style="list-style-type: none"> All Four Identified Programs are conducted/ Support provided to the local community. Any three identified programs conducted/ Support provided to the local community. Any two identified programs conducted/ Support provided to the local community. Any one identified programs conducted/ Support provided to the local community. No such programs conducted/ No voluntary adoption of any village. 	For 1- 10 points For 2- 8 Points For 3- 6 Points For 4- 4 Points For 5- 0 points		
2	General Cleanliness of mines area (Mine working faces, dump areas, roads)	Excellent/Moderate/Poor	Excellent (3)/Moderate (2)/Poor(0)		
3	Cleanliness of workshop/Mines Office	Excellent/Moderate/Poor	Excellent (3)/Moderate (2)/Poor(0)		

	area	Poor		
4	Garbage collection and appropriate disposal	Yes/No	Yes – 3 / No -0	
5	Providing drinking water for mining workers	Yes/No	Yes – 2 / No - 0	
6	Provision for greenage recreational facility	Yes/No	Yes – 2 / No - 0	
7	Awareness program among Mine workers for Swatchata	Yes/No	Yes – 2 / No - 0	

Summary of Points obtained in Module-III	Module-III Applicable Maximum Points (2)	Module-III Points Scored (ii)

MODULE IV: ASSURANCE AND REPORTING

PARTICULARS	DETAILS	RATING POINTS	Max Applicable Points	Points scored
Lease Level Reporting - Disclosures and Assurances				
Availability of information on SDF and related Reports on Company's/Mine website/ Public Display at mine site	Details	Yes (3 points) No(0 points)	3	
Display of ambient air quality level on website and at prominent locations such as premises gate, mine-office, etc.	Details	Yes (2 points) No(0 points)	3	
Internal auditing for information submitted.	Yes/No	Yes (3 points) No(0 points)	3	
Adoption of Related International Standards				
QMS (ISO_9001)	Yes/No.	Yes (3 points) No (0 points)	3	
EMS (ISO-14001)	Yes/No	Yes (3 points) No (0 points)	3	
OHSAS (ISO-18001) or ISO 45001	Yes/No	Yes (3 points) No (0 points)	3	
Swatchata Report (Please Specify)	Yes/No	Yes (2 points) No (0 points)	1	

Summary of Points obtained in Module-IV	Module-IV Applicable Maximum Points (4)	Module-IV Points Scored (iv)

• **OVERALL PERFORMANCE & STAR RATING**

	Sum of Applicable Maximum Points in all Modules (a)	Sum of Points Scored in all Modules (b)	Percentage (b/a)*100
Module			
Subtotal Module I			

Subtotal Module II			
Subtotal Module III			
Subtotal Module IV			
Overall			
% Obtained			
Star Rating			

Criteria for Star Rating :

Percentage obtained	Criteria
=>90 to 100 %	5 Star
=>80 to <90 %	4 Star
=>60 to <80 %	3 Star
=>50 to <60 %	2 Star
=>25 to <50 %	1 Star
<=25 %	No rating

CERTIFICATE

I, S/o.....Designation..... am authorized to fill and submit this document on behalf of lessee. It is further certified that all the above furnished information is true to the best of my knowledge and based on records.

Date:

Place:

(Name)
Lessee /Authorized person by lessee